



6-13-03

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Atty. Dkt. No. 039153-0684 (H1719)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Xiang et al.

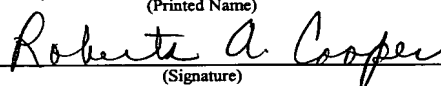
Title: A METHOD OF FABRICATING
A STRAINED SILICON
CHANNEL FINFET

Appl. No.: 10/755,763

Filing Date: 01/12/2004

Examiner: To be determined

Art Unit: 2812

CERTIFICATE OF EXPRESS MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office To Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	
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(Express Mail Label Number)	(Date of Deposit)
Roberta A. Cooper	
(Printed Name)	
	
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INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56

Mail Stop AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document and each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

RELEVANCE OF EACH DOCUMENT


All of the documents are in English.

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

Respectfully submitted,

Date 6/10/2005

By 

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Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Date Submitted: June 10, 2005

(Use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	10/755,763
Filing Date	01/12/2004
First Named Inventor	Qi Xiang
Group Art Unit	2812
Examiner Name	To be determined
Attorney Docket Number	039153-0684 (H1719)

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	A1	2004/0169239	A1	Rim	09-02-2004	
	A2	2003/0227036	A1	Sugiyama et al.	12-11-2003	
	A3	6,645,797	B1	Buynoski et al.	11-11-2003	
	A4	2003/0201458	A1	Clark et al.	10-30-2003	
	A5	6,197,641	B1	Hergenrother et al.	03-06-2001	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	A6	WO	2003/105189	A2	Lochtefeld et al.	12-18-2003		

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
	A7	International Search Report; International Application No. PCT/US2004/043106; mailed April 12, 2005; 4 pages.	
	A8	Written Opinion of the International Searching Authority for International Application No. PCT/US2004/043106; 8 pages.	
	A9	YANG, FU-LIANG, ET AL.; Strained FIP-SOI (FinFET/FD/PD-SOI) for Sub-65 nm CMOS Scaling; 2003 Symposium on VLSI Technology Digest of Technical Papers; page 137.	
	A10	YIN, HAIZHOU, ET AL.; Fully-depleted Strained-Si on Insulator NMOSFETs without Relaxed SiGe Buffers; ©2003 IEEE; pages. 53-56.	

001.1836651.1

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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